

**EXAMINING CAREGIVER-CHILD
RELATIONSHIPS AND FAMILY
FUNCTIONING IN EVIDENCE-
BASED SOCIAL SKILLS
TRAINING FOR YOUNG
CHILDREN WITH AUTISM: THE
UCLA PEERS® FOR
PRESCHOOLERS PROGRAM**

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**October 19,
2020**

OUTLINE

- Review of Literature
 - Social Impairments in ASD
 - Social Skills Interventions
 - Caregiver Involvement in Interventions
 - Family Functioning in ASD
- Current Study
 - Aims
 - Method
 - Analytic Plan
 - Results
 - Discussion
 - Limitations and Future Directions
 - Conclusion



REVIEW OF LITERATURE

SOCIAL IMPAIRMENTS IN ASD

- **Social competence difficulties: core deficit for children with ASD** (American Psychiatric Association, 2013)
- **Decreased ability to make and maintain friendships** (Bellini, Peters, Benner, & Hopf, 2007)
- **Lack of initiating/maintaining social interactions, empathy, using and interpreting verbal/nonverbal communication, understanding others' thoughts, emotions** (Baron-Cohen & Wheelwright, 2004; Frith, 2004)



SOCIAL IMPAIRMENTS IN ASD

- Early signs of social reciprocity deficits
 - Lack of social smiling, eye contact, orienting, facial processing (Farroni, Csibra, Simion, & Johnson, 2002; Messinger, Fogel, & Dickson, 2001; Mundy, 2016)
- Less time interacting with peers, low-quality exchanges, remain farther apart physically, more time engaged in non-goal-directed activities (Lord & Magill-Evans, 1995; Sigman & Ruskin, 1999)



SOCIAL SKILLS INTERVENTIONS

- **Social communication often top treatment concerns** (Watkins, Kuhn, Ledbetter-Cho, Gevarter, & O'Reilly, 2017)
- **Gains minimal, not maintained beyond treatment** (Bellini et al., 2007)
- **Few manualized interventions currently available** (Lord et al., 2005)



CAREGIVER INVOLVEMENT IN INTERVENTIONS

- Shift from professional-driven model to more family-focused (Dixon et al., 2004; Thompson et al., 1997)
- Bidirectional effect of ASD on the family system, impacts child (Karst & Van Hecke, 2012)
- Caregiver and family outcomes involved in maintenance and generalization of child treatment gains (Karst & Van Hecke, 2012)
- Generalization beyond social skills group might occur by including caregivers (DeRosier et al., 2011)



FAMILY FUNCTIONING IN ASD

- **Family Systems Theory (FST):** an individual cannot be examined in isolation (Bowen, 1978)
- **Family conflict more predictive of ASD symptomatology than positive family or peer influences** (Kelly, Garnett, Attwood, & Peterson, 2008)
- **Gains made by the child with ASD completing an intervention must also be compared to effects on the family** (Lord & Bishop, 2010)



FAMILY INVOLVEMENT IN INTERVENTIONS

- Few evidence-based interventions explicitly address development of social skills in preschool-aged children (DeRosier et al., 2011; Reichow & Volkmar, 2010)
- None appear to actively integrate caregivers into treatment (Reichow, Steiner, & Volkmar, 2012)



PEERS FOR PRESCHOOLERS (P4P)

- PEERS® program: Program for the Education and Enrichment of Relational Skills (Laugeson & Frankel, 2010)
- Randomized controlled trial (RCT) indicated benefits from P4P
 - Increases in social skills
 - Reduction in ASD symptoms and problem behaviors



MODEL OF INTERVENTION EVALUATION



- Two primary domains

- 1) Caregiver and family outcomes
- 2) Child outcomes



- (Karst & Van Hecke, 2012)

THEREFORE...

- Given this information, exploring:
 - 1) P4P curriculum and examine social skills improvement in the child with ASD
 - 2) caregiver confidence and knowledge in interacting with their child
 - 3) the caregiver-child relationship and interaction style over the course of treatment
 - 4) family functioning in the context of treatment

HYPOTHESES – SOCIAL SKILLS

- a) increase their caregiver-reported social skills and these improvements will be maintained after treatment and at follow-up
- b) Decrease ASD symptoms related to social functioning from entry/pre-treatment to post-treatment, maintained at follow-up



HYPOTHESES – CAREGIVER EFFICACY AND BEHAVIOR

Caregivers will

- a) increase knowledge of social skills
- b) gain more self-efficacy in dealing with their children's social interactions and acting as a facilitator from entry/pre-treatment to exit/post-treatment, maintained at follow-up
- c) improve caregiver-child interactions in responsiveness, affect, achievement, and directiveness from entry/pre-treatment to exit/post-treatment that will be maintained at follow-up
- d) improve parenting styles overall, specifically in laxness, overreactivity, and verbosity, maintained after treatment



HYPOTHESES – FAMILY FUNCTIONING

- a) Household chaos will improve from entry/pre-treatment to exit/post-treatment, maintained at follow-up





METHOD

METHOD: PARTICIPANTS (IN THIS SPECIFIC STUDY)

■ Demographics

- Fifteen children, 4 groups
- 4-7 years ($M = 4.87$, $SD = 1.25$)
- 11 boys, 4 girls
- 66.7% Caucasian
- Caregivers (27-42 years; $M = 36.13$ years, $SD = 5.14$)
- All children diagnosed with ASD without intellectual impairment ($IQ > 70$)

■ Inclusion criteria

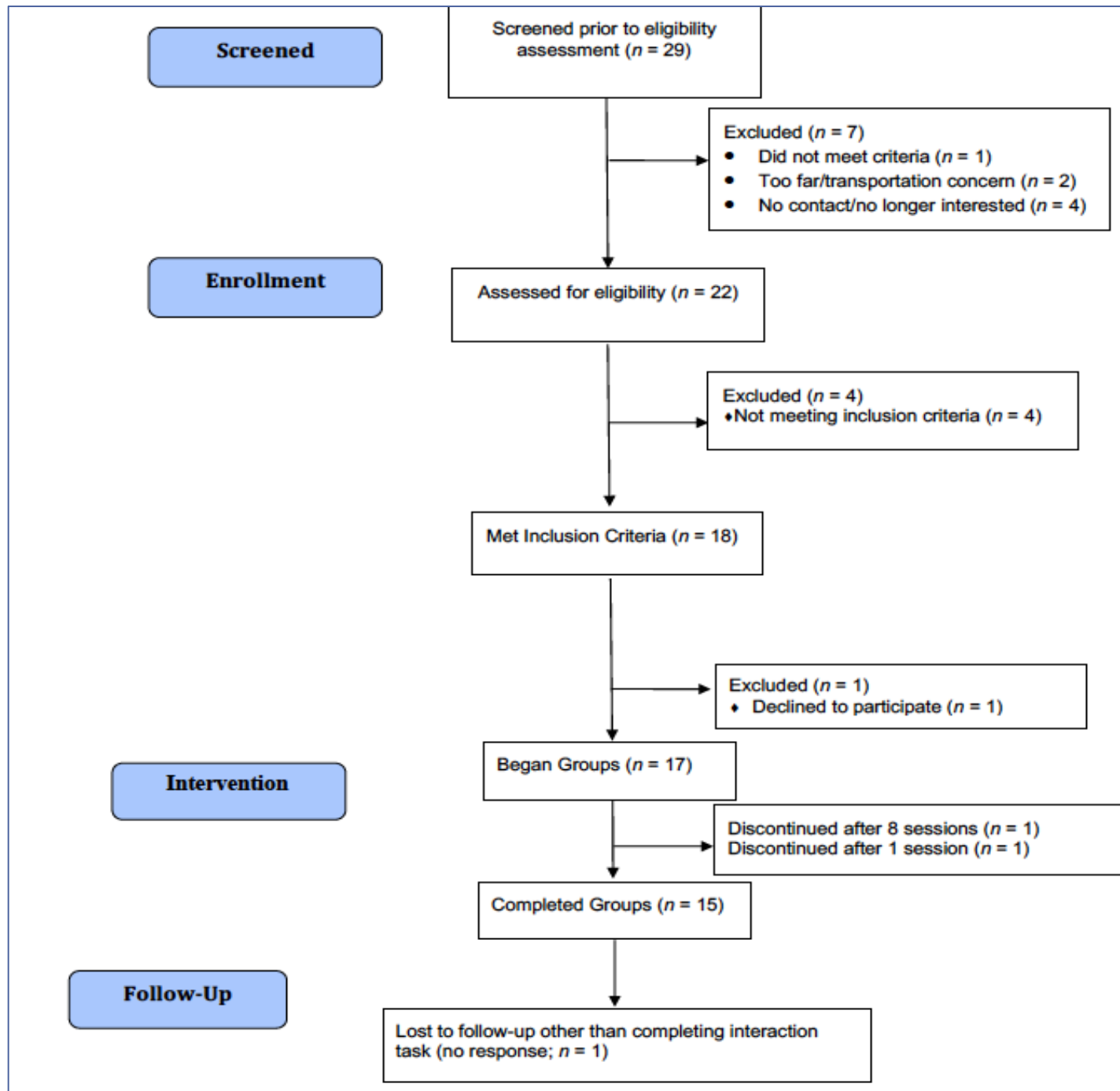
- ASD diagnosis, fluent in English,
- Toilet trained
- Able to tolerate group setting

■ Exclusion criteria

- Active medical problem, severe mental health problems
- Physical aggression towards adults or children
- Medication change over treatment



CONSORT DIAGRAM



METHOD: PROCEDURES

- ***Nonconcurrent multiple baseline design***
- Pre-intervention assessment
 - Eligible families invited to join the group
- 16-session P4P program
- Midpoint assessments (Session 8)
- Exit/Post-intervention assessments
- Follow-up assessments 4-6 weeks after treatment



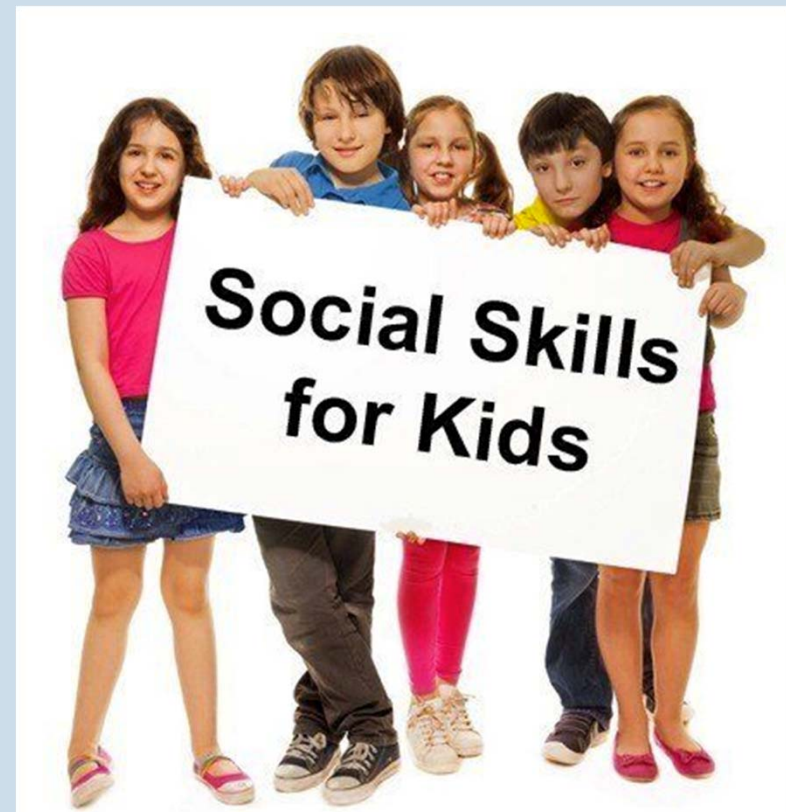
RANDOMIZATION

- 4 groups: 2-5 children in each group, randomized to baseline condition (e.g., 1.5, 2, and 3 week baseline period)
- During baseline period caregivers completed ratings of:
 - Social Skills (Social Skills Monitoring)
 - Parenting Styles (Parenting Scale)
 - Family Functioning (CHAOS Scale)
- Baseline conditions
 - Measures completed every half week
 - 1.5 week (Group 2; measures completed three time)
 - 2 week (Group 3; measures completed 4 times)
 - 2.5 week (Groups 1 and 4; measures completed 5 times)

METHOD: PRIMARY OUTCOME MEASURES FOR HYPOTHESIS TESTING (BY HYPOTHESIS):

■ 1) Social Skills

- a) Social Skills Monitoring From
- b) Social Responsiveness Scale, 2nd Edition (Constantino & Gruber, 2012)
- Social Skills Improvement System (Gresham & Elliot, 2008)
- The Quality of Play Questionnaire (Frankel & Mintz 2008)



METHOD: PRIMARY OUTCOME MEASURES FOR HYPOTHESIS TESTING (BY HYPOTHESIS):



- 2) Caregiver Efficacy and Behavior
 - a) Social Skills Monitoring
 - b) Parental Self-Efficacy in the Management of Asperger Syndrome (Sofronoff & Farbotko, 2002)
 - c) The Maternal Behavioral Rating Scale (Mahoney, Powell, & Finger, 1986)
 - d) Parenting Scale (Arnold, 1993)

- 3) Family Functioning
 - a) Confusion, Hubbub, and Order Scale (Matheny, Wachs, Ludwig, & Phillips, 1995)

- Fidelity of implementation

Construct/ Measure	Pre-Tx	Mid	Each Session	Post-Tx	Follow-up
Sample Characterization					
ADOS-2	X				
Demographics Form	X				
KBIT-2	X				
VABS-III	X				
Social Skills					
Social Skills Monitoring	X	X	X	X	X
SRS-2	X	X		X	X
SSIS	X	X		X	X
QPQ	X	X		X	X
Caregiver Efficacy and Behavior					
PSEMAS	X	X		X	X
MBRS	X	X		X	X
PS	X	X	X	X	X
Family Functioning					
CHAOS	X	X	X	X	X
Treatment Quality					
Fidelity Rating Form			X		

TIMELINE OF MEASURES

P4P INTERVENTION

- 16 sessions, 2 per week (i.e., 2 sessions for week)
- GOAL: Teach fundamental play and social skills in caregiver-assisted social skills group
- Separate caregiver and child sessions (60 minutes), joint portion (30 minutes)
- Caregivers practice coaching child
- Homework assignments



P4P SESSION SCHEDULE

- Session 1: Listening to and following directions
- Session 2: Meeting and Greeting Friends
- Session 3: Sharing and Giving a Turn
- Session 4: Asking for a Turn
- Session 5: Keeping Cool
- Session 6: Being a good sport
- Session 7: Show and Tell
- Session 8: Don't Be Bossy
- Session 9: Asking a Friend to Play
- Session 10: Joining a Game
- Session 11: Asking to Play
- Session 12: Asking & Giving Help
- Session 13: Stay in your Own Space
- Session 14: Using an Inside Voice
- Session 15: Review 1
- Session 16: Review 2 and Graduation



ANALYTIC PLAN

Nonparametric Friedman tests, post-hoc Wilcoxon tests for pre-post comparison and follow-up data

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graph TD; A[Nonparametric Friedman tests, post-hoc Wilcoxon tests for pre-post comparison and follow-up data] --> B[Reliable change index (RCI)]; B --> C[Simulation Modeling Analysis (SMA; Borckardt et al., 2008)]; C --> D[Changes in the level of symptoms and the slope of symptom change, significance of the effect using bootstrapping methods];
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Reliable change index (RCI)

Simulation Modeling Analysis (SMA; Borckardt et al., 2008)

Changes in the level of symptoms and the slope of symptom change, significance of the effect using bootstrapping methods

- Multivariate process change of temporal relationship

RESULTS



FRIEDMAN TESTS: SOCIAL SKILLS

Measure	Friedman Test (χ^2)
Social Skills	
SSM	13.603*
SRS-2- total	3.62
Social Awareness	5.96
Social Communication	7.77*
Social Motivation	7.026
Social Cognition	4.62
SCI	8.39*
RRB	.792
SSIS – Social Skills	8.31*
QPQ	3.237

WILCOXON SIGNED RANK TEST: SOCIAL SKILLS

SSM - Social Skills Domain

- Entry/pre-treatment to exit ($Z = -2.37$, $p = .018$, $r = .89$)
- Midpoint to exit/post-treatment ($Z = -2.38$, $p = .02$, $r = .89$)
- Midpoint to follow-up ($Z = -2.05$, $p = .040$, $r = .59$)

SRS-2

- SCI: Entry/pre-treatment and midpoint ($Z = -2.076$, $p = .038$, $r = .56$); entry/pre-treatment to follow-up ($Z = -2.59$, $p = .010$, $r = .69$)
- Total SRS-2 score: entry/pre-treatment and follow-up ($Z = -2.043$, $p = .041$, $r = .55$)
- Social Cognition: entry/pre-treatment and midpoint ($Z = -1.99$, $p = .046$, $r = .53$); entry/pre-treatment and follow-up ($Z = -2.59$, $p = .010$, $r = .69$)
- Social Comm: entry/pre-treatment and follow-up ($Z = -2.073$, $p = .038$, $r = .55$)
- Social Motivation: entry/pre-treatment and midpoint ($Z = -1.95$, $p = .051$, $r = .52$) and entry/pre-treatment and follow-up ($Z = -2.613$, $p = .009$, $r = .70$)

SSIS - Social Skills Domain

- Entry/pre-treatment and midpoint ($Z = -2.41$, $p = .016$, $r = .90$)
- Entry/pre-treatment to follow-up ($Z = -2.51$, $p = .012$, $r = .84$)
- Midpoint to exit/post-treatment ($Z = -2.80$, $p = .005$, $r = .94$)
- Exit/post-treatment to follow-up ($Z = -2.56$, $p = .011$, $r = .85$)

QPQ - Conflict scale

- Midpoint to follow-up ($Z = -2.27$, $p = .023$, $r = .17$)

FRIEDMAN TESTS: CAREGIVER EFFICACY AND BEHAVIOR

Measure	Friedman Test (χ^2)
Caregiver Efficacy and Behavior	
SSM	
Confidence	6.00
Stress	2.053
PSEMAS	7.58
MBRS	
RCO	1.77
AA	3.33
AO	7.97*
DR	2.305
PS -total	4.54
Verbosity	1.70
Laxness	4.12
Overreactivity	11.8*

WILCOXON SIGNED RANK TEST: CAREGIVER EFFICACY AND BEHAVIOR

SSM – Confidence and Stress

- No significant changes in stress or confidence were indicated, though caregiver stress did slightly increase

PSEMAS - Total Self-efficacy

- Entry/pre-treatment and midpoint ($Z = -2.48$, $p = .013$, $r = .029$)
- Entry/pre-treatment to follow-up ($Z = -2.23$, $p = .026$, $r = .23$)

MBRS

- AO from entry/pre-treatment to midpoint ($Z = -2.00$, $p = .046$, $r = .52$); entry/pre-treatment to follow-up ($Z = -2.39$, $p = .017$, $r = .62$)
- AA from midpoint to exit/post-treatment ($Z = -2.501$, $p = .012$, $r = .67$)

PS Scale

- PS total score: from entry/pre-treatment to midpoint ($Z = -2.25$, $p = .024$, $r = .75$); from entry/pre-treatment to follow-up ($Z = -2.045$, $p = .041$, $r = .62$)
- Laxness: entry/pre-treatment to midpoint ($Z = -2.016$, $p = .044$, $r = .67$)
- Overreactivity: entry/pre-treatment to midpoint ($Z = -2.20$, $p = .028$, $r = .73$); entry/pre-treatment to exit/post-treatment ($Z = -2.39$, $p = .017$, $r = .84$)

FRIEDMAN TESTS AND WILCOXON TESTS: FAMILY FUNCTIONING

Measure	Friedman Test (χ^2)
Family Functioning	
CHAOS	2.02

No significant differences on
Wilcoxon tests

RCIS: INDIVIDUAL OUTCOMES FOR SIGNIFICANT IMPROVEMENT AND RECOVERY: SOCIAL SKILLS

SRS-2

- 7.14% individuals improved from entry/pre-treatment to follow-up on total (1 /14 children; GAC15)
- 20% improved on SCI from entry/pre-treatment and exit/post-treatment (2/10 children; GAC15, GAC19)
- 21.43% from entry/pre-treatment to follow-up (3/14 children; GAC15, GAC19, VT3)

SSIS – Social Skills

- 50% significantly improved from entry/pre-treatment to exit/post-treatment (5/10 children: GAC1, GAC5, GAC11, GAC19, VT3)
- 50% at follow-up (7/14 children: GAC1, GAC5, GAC11, GAC14, GAC15, GAC19, VT3)

QPQ

- 71.42% significantly improved from entry/pre-treatment to exit/post-treatment of children (5/7 children; GAC5, GAC11, VT3, VT4, VT6)
- 80% significantly improved from entry/pre-treatment to follow-up (8/10 children; GAC5, GAC11, GAC14, GAC15, GAC18, VT3, VT4, VT6)

RCIS: INDIVIDUAL OUTCOMES FOR SIGNIFICANT IMPROVEMENT AND RECOVERY: CAREGIVER CONFIDENCE AND BEHAVIOR

PSEMAS

- 30% of caregivers indicated that they significantly improved from entry/pre-treatment to exit/post-treatment (3/10; GAC11, GAC19, VT4)
- 50% did at follow-up (7/14; GAC5, GAC11, GAC15, GAC18, GAC19, VT4, VT6)

MBRS

- 21.42% improved on the RCO scale entry/pre-treatment to exit (3/14: GAC5, VT6, VT8) and 13.33% from entry/pre-treatment to follow-up (2 /15: GAC5, GAC7)
- AA scale, 7.14% improved from entry/pre-treatment to exit/post-treatment (1 /14; GAC18) and 6.67% from entry/pre-treatment to follow-up (1/15; GAC18)
- AO scale, 21.43% of caregivers from entry/pre-treatment to exit/post-treatment (3/14; GAC7, GAC18, GAC20), 40% from entry/pre-treatment to follow-up (6/15; GAC1, GAC7, GAC18, GAC19, GAC20, VT6)
- DR scale, 35.71% entry/pre-treatment to exit/post-treatment (5/14; GAC18, VT2, VT3, VT6, VT8) and 33.33% entry/pre-treatment to follow-up (5 /15; GAC18, VT2, VT3, VT6, VT8)

PS

- 22.22% of caregivers improved from entry/pre-treatment to exit/post-treatment on the total scale (2 /9; GAC19, VT3)
- 9.10% significantly improved from entry/pre-treatment to follow-up (1 /11; VT4)

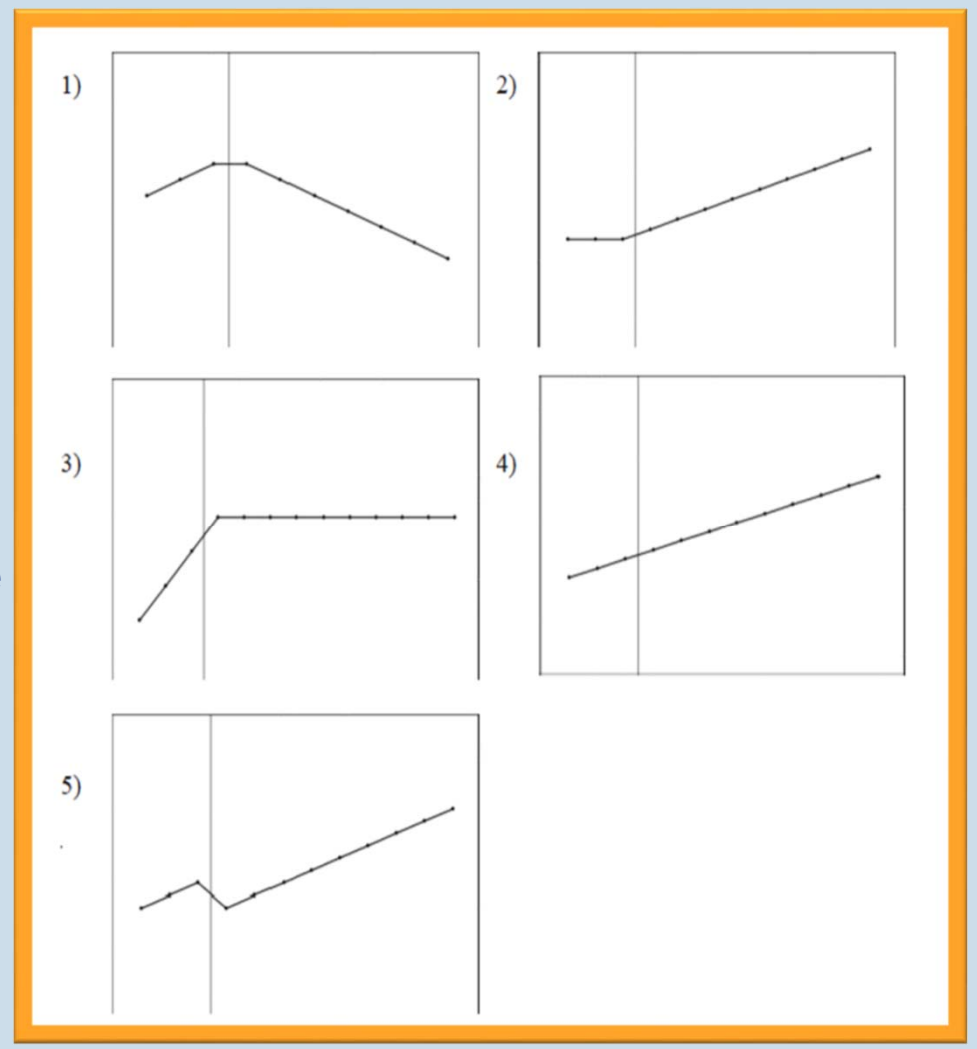
RCIS: INDIVIDUAL OUTCOMES FOR SIGNIFICANT IMPROVEMENT AND RECOVERY: FAMILY FUNCTIONING

CHAOS

- **11.11%** of caregivers indicated that the level of family functioning significantly improved from entry/pre-treatment to exit/post-treatment (1/9: GAC11)
- **8.18%** of caregivers indicated that the level of family functioning significantly improved from entry/pre-treatment to follow-up (2/11: GAC11, GAC14)

MULTIVARIATE PROCESS ANALYSES

- **Slope 1:** an increasing baseline and decreasing treatment
- **Slope 2:** a flat baseline and increasing treatment
- **Slope 3:** an increasing baseline and flat treatment
- **Slope 4:** increasing from baseline throughout treatment
- **Slope 5:** increasing during baseline, return to pre-treatment level at the initiation of treatment, then increasing throughout treatment



Mean Level Changes between Baseline and Treatment for SSM, PS, and CHAOS scores

Group	SSM Social Skills	PS total	CHAOS total
Group 1	.317	.065	-.247
Group 2	.375	.335	-.413
Group 3	.523*	-.076	-.103
Group 4	.526	-.597*	-.363

* $p < .05$

** $p < .01$

Note. SSM = Social Skills Monitoring Social Skills Questionnaire; PS = Parenting Scale Total Score; CHAOS = Confusion, Hubbub, and Order Scale Total Score

Multivariate Process Change Analysis for SMA for SSM, PS, and CHAOS scores

Group	SSM Social Skills, PS Total Score	SSM Social Skills, CHAOS Total Score	PS Total Score, SSM Social Skills	PS Total Score, CHAOS Total Score	CHAOS Total Score, SSM Social Skills	CHAOS Total Score, PS Total Score
Group 1	.55 (-3)**	.55 (-3)**	.57 (-3)**	.57 (-3)**	-.47 (-3)*	-.47 (-3)*
	.58 (-2)**	.58 (-2)**	.57 (-2)**	.57 (-2)**	-.52 (-2)*	-.52 (-2)*
					-.47 (-1)*	-.47 (-1)*
Group 2	.40 (-3)*	.40 (-3)*	.26 (-3)	-.26 (-3)	-.41 (0)*	-.41 (0)*
	.55 (-2)**	.55 (-2)**				
Group 3	.37 (-3)*	.37 (-3)*	.17 (-3)	.17 (-3)	-.23 (2)	-.23 (2)
	.44 (-2)*	.44 (-2)**				
	.49 (-1)**	.49 (-1)**				
	.52 (0)**	.52 (0)**				
		.36 (1)*				
Group 4	-.37 (-2)	-.37 (-2)	-.37 (-2)*	-.37 (-2)	-.37 (-2)	-.37 (-2)*

* $p < .05$ with Bonferroni correction

** $p < .01$ with Bonferroni correction, number in parentheses indicates significant lag



DISCUSSION, FUTURE DIRECTIONS, CONCLUSIONS

DISCUSSION



Suggests working on social skills is key for early intervention



Clarifies how caregiver involvement in interventions is critical



Results suggest improvements in social skills, caregiver-child relationship, and caregiver confidence are improved in a 16 session social skills treatment and maintained at a 4-6 week follow-up



Results did not indicate significant differences in family functioning



Use of both an observational coding system as well as caregiver self-report adds to the robust findings of this work

DISCUSSION: FEASIBILITY

- Supports that this intervention is feasible to administer across sites, as well as feasibility of employing training beforehand to prepare group leaders
- Promising results for continuing to offer P4P to this age group, which is in need of social skills services (DeRosier et al., 2011; Reichow & Volkmar, 2010)



POSSIBLE



DISCUSSION: SOCIAL SKILLS

- Many gains maintained at 4-6 week follow-up
- SRS-2 SCI score significantly improved over treatment, maintained at follow-up
- Other SRS-2 domains improved:
 - Social Cognition, Social Communication, and Social Motivation
- Similar gains on the SSIS, though not on QPQ
- Individuals significantly improved overall, based on RCI scores
- All groups demonstrated increased slope over course of treatment (slopes 2 & 4)
- SMA results could suggest including booster sessions to maintain gains after completion of formal treatment



DISCUSSION: CAREGIVER EFFICACY AND BEHAVIOR

- Caregiver stress did not decrease, and confidence did not increase on SSM
- Parental Self-efficacy (PSE) did increase from entry/pre-treatment to follow-up on the PSEMAS
 - Captures overall confidence; belief in ability to parent their child (Karst & Van Hecke, 2012)
 - PSE may generalize to different domains of daily functioning
 - In context of intervention, increase in PSE is critical, as caregivers play an essential role in child improvement (bidirectional relationship)
- SMA indicated mean changes in one group (Group 4)
- Caregiver-coaching component in each P4P session might have increased PSE



DISCUSSION: CAREGIVER EFFICACY AND BEHAVIOR



■ PS

- 10% of caregivers recovered from entry/pre-treatment to exit/post-treatment

■ MBRS (observational measure)

- AO and AA scales indicated caregiver improvement from entry/pre-treatment to follow-up
- Some significantly improved on these scales (RCI scores), one caregiver recovered on the AO scale from entry/pre-treatment to exit/post-treatment



- **Therefore caregivers are a critical component of intervention, also experience positive changes in their own PSE and parenting styles**

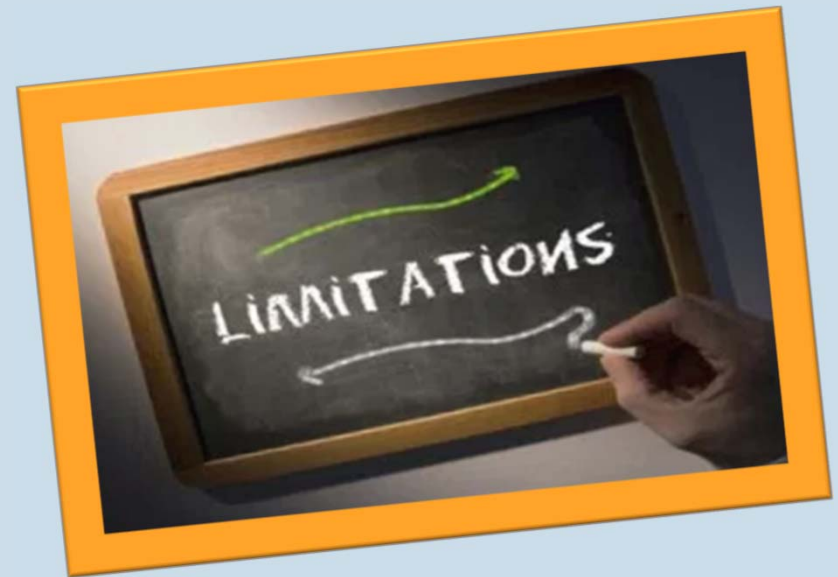
DISCUSSION: FAMILY FUNCTIONING

- Results did not indicate change, largely stable throughout all timepoints
- Two caregivers indicated family chaos significantly improved (RCI scores)
- Might suggest other family members may need to be involved in intervention or more measures should be employed
- Only one father was the target caregiver
- Did not increase family stress, suggests caregiver training may require less time and strain, allowing them to still focus on other children or spouse



LIMITATIONS

- Small sample sizes: difficult to detect interaction effects as well as to apply various statistical analyses
- Homogeneous sample (e.g., race, gender)
- Family members who attended each session not recorded
- Mostly caregiver-report measures utilized
 - Observational measure was employed, but most reports relied on caregiver-report



FUTURE DIRECTIONS



- Suggest a connection between all three variables, and especially between social skills and caregiver style of parenting as well as social skills and family functioning
- More research needed, as this work is a first step in employing social skills for this age group
- Continuing research focusing on caregivers and the family, in addition to specific child outcomes

FUTURE DIRECTIONS

- Looking at the family as whole, more family, specifically sibling involvement
- Help identify potential barriers to family involvement in interventions (Karst & Van Hecke, 2012)
- Examining mechanistic role of caregiver involvement through mediation analyses
- Caregiver traits, including BAP (Broad Autism Phenotype) or stress, important step in determining how to tailor interventions
- More longitudinal work provide information regarding the impact of teaching social skills early



FUTURE DIRECTIONS

■ Telehealth

- Success indicated in adolescent and young adult PEERS groups
- Working to develop version of P4P via telehealth with more focus on parent and puppet show videos



CONCLUSIONS

- Demonstrates feasibility of implementing and adapting PEERS® for younger children
- Examination and gains of both caregiver and child outcomes
- Directly addresses core features and difficulties of young children with ASD and lack of social skills programs geared towards this age group
- Future work will allow further understanding specific effectiveness of caregiver-assisted social skills on treatment implementation, child behaviors, and family functioning



THANK YOU!

